

DIRECTORATE OF INDUSTRIES
Package Scheme of Incentives-2007
PROFORMA OF FORM- I

| Sr. No. | Description | | | |
|---------|---|---|-------------------|--------------------------|
| 1. | Application Number | : | | (for office use) |
| 2. | Date of Receipt | : | | (for office use) |
| 3. | Name of Applicant / Industrial Unit | : | | |
| 4. | | | Registered office | Head Office Factory |
| | Address | : | | |
| | City | : | | |
| | Pin | : | | |
| | Tel No. | : | | |
| | Fax | : | | |
| | E Mail | : | | |
| 5. | Constitution | | | |
| | a. Authority of Certification | : | | |
| | b. Letter /Ack. No. of Incorporation | : | | |
| | c. Date of Establishment | : | | |
| 6. | Name & Address of Prop./Partners/Directors | | | |
| | a. Name | : | | |
| | b. Residential Address – 1} | : | | |
| | Address – 2} | : | | |
| | Address – 3} | : | | |
| | c. Telephone No.& E-mail | : | | |
| 7. (I) | Details of Previous Applications under any of the Package Schemes of Incentives, if any | : | | |
| | a. Scheme | : | | |
| | b. Date of Application /Filing | : | | |
| | c. Date, if application withdrawal from previous scheme. | : | | |

- (II) Details of Eligibility Certificate obtained (all) under Package Scheme of Incentives
 - a. Scheme. :
 - b. EC No /Date :
 - c. Name of Implementing Agency :
- (III) Details of E.C.s (each EC separately)(**)
 - a. Fixed Capital Investment (in lacs) :
 - b. Product/s
 - c. Location :
 - d. E.C. Number :
 - e. E.C. issue date :
 - f. Validity from :
 - g. Validity upto :
 - h. Scheme :
- (IV) Details of incentives sanctioned under respective ECS

- 8. Effective steps taken / completed (Land) * *
 - a. Plot / Land type :
 - b. Plot No. :
 - c. Plot Area :
 - d. Gala No :
 - e. Possession of land :
 - f. Is it growth center (Y/N) :
 - g. Type of possession : Freehold / leasehold / other (please specify)

- 9. Type of Industry
 - a. Is it case of shifting unit? (Y/N) :

- 10. Details of Registrations, Licences * *
 - a. Registration Authority :
 - b. Date of Registration :
 - c. Regn./Licence/ Acknowledgement No. :

11. Product for which Regn. Obtained * *
 - a. Product Code :
 - b. Product Details :
 - c. Proposed Capacity :
 - d. Unit of Production :
 - e. Expected date of production :
 - f. Date of commencement of production. :
 - g. Expected turnover in lacs. :

12. Details of scrap released during production
 - a. Scrap details :
 - b. Value of scrap :

13. Details of by product
 - a. By product name :
 - b. By product value :

14. Consents & Permissions (* *)
 - a. Authority :
 - b. Consent Name :
 - c. Date of clearance

15. Accounting year & expenditure
 - a. Accounting year followed. :
 - b. Cost and date of fixed assets acquired by unit. :
 - c. Advance paid to supplier towards capital cost and date. :

16. Registration under the
 - a. MVAT Act, 2002. :
 - b. Registration No. :
 - c. Date of Registration. :
 - d. Date of effect. :

17. Registration details in case of transferee give registration of transferor.
 - a. MVAT Act, 2002. :
 - b. Registration Number :
 - c. Date of Registration :
 - d. Date of Effect. :
 - e. Whether permission to the file consolidated returns obtained ? :
 - f. Order No. of permission. :
 - g. Date of order issued. :
18. Project cost (Rs. in lacs)
 - a. Land :
 - b. Building :
 - c. Plant & Machinery. :
 - d. Tech. Know-how fees/ engineering etc. :
 - e. Other Assets. :
 - f. Preliminary & Capital issue expenses. :
 - g. Pre operative expenses :
 - h. Provision for contingencies. :
 - i. Margin for working capital :
 - j. TOTAL :
19. Means of finance * *
 - a. Means :
 - b. Source :
 - c. Amount in Rs.(lacs) :
20. Estimated water supply * *
 - a. Source :
 - b. Supply (with unit) :
21. Estimated electric power reg. * *
 - a. Source :
 - b. Supply (with unit) :

22. Average workers proposed to be employed at the time of commencement of production. :
- a. % of local employment (Supr. Cadre) :
- b. % of local employment. (worker cadre) :
23. List of enclosures with application. * * :

NOTE :-

1. “ * * ” indicated for multiple information so list may be enclosed.
2. Certified that no letter of intent or Eligibility Certificate for any of the projects/ the project / unit aforesaid has been in column no. 5 and that this is the first application in regard to the project / unit aforesaid.
3. Certified that the information / statement contained in this application for Eligibility Certificate are true to the best of my / our knowledge.
4. Declared that no Government enquiry has been instituted against the applicant and / or its Proprietor / Partners/ Directors for any economic offence.
5. We hereby agree to abide by the terms and conditions of the letter of intent / Eligibility Certificate to be issued. In pursuance of the above application for Eligibility Certificate.
6. We hereby agree that the letter of Intent / Eligibility Certificate / Certificate of entitlement issued on the basis of the above statements made and information furnished either alongwith this application or hereafter in connection with the above matter is liable to be canceled ab-initio or rendered invalid or withdrawn if any of the statements and / or information is / are found to incorrect / untrue. All the momentary benefits already availed of on the basis of the Eligibility Certificate so cancelled shall be recoverable as Government dues forthwith alongwith compound interest at @ 22 % per annum or at such other higher rate as may be fixed by the Implementing Agency from time to time and no further benefits will be available to the project unit for which the above application is made.

Signature of the applicant
Proprietor / Partner / Director / Trustee

Place : (This application shall be signed only by any one of the persons indicated above with appropriate rubber stamps of the applicant and designation of the signatory)

Date :-

**Documents required under PSI-2007
Mega or Regular (New/Expansion) Unit**

A) Effective Steps –

1) Effective possession of land :-

- i) MIDC possession receipt with agreement OR
- ii) Registered land purchase documents with 7/12 extracts / Index II
- iii) Registered lease deed for land / premises (at least of 15 years)
- iv) For land outside MIDC area NOC from Grampanchayat or in case the unit is located within an area covered under a draft or final Regional Development Plant approved by Govt., zone confirming letter from competent authority.

2) Constitution :-

Proprietary/Partnership/Private or Public Limited Company/Co-operative Society /Trust

- i) Registration in respect of firm / Company / Society and Co-operative Society (with the copy of Bye-Laws) / Trust (depending on constitution)
- ii) In case of Partnership firm evidence of execution of partnership deed and filing the requisite application with payment receipt of necessary registration fees with the Registrar of Firm.

3) Registration :-

- i) Photocopy of IEM alongwith a copy of acknowledgement.

B) Other documents required –

1. Conversion of Letter of Intent (LOI) to an Industrial License wherever required.
2. FERA / FEMA / MRTP Clearance, Capital Goods Clearance, Import License /FIPB approval / RBI permission for foreign equity with or without repatriation, wherever necessary.
3. Other clearances, from Central / State Government and / or other authorities required for implementing the project such as
 - i) Mining Permission / Textile Registration / MSEB for captive power / Environment / RBI (Collaboration) / State Excise / Cold Storage / NOC from Local Authority etc. (These type of clearance / permission will depend upon the type of Industries & Location), if applicable.
 - ii) Power sanction letter / Captive Power Generation permission/agreement.
 - iii) MPCB Consent to establish.
 - iv) Environment clearance from Ministry of Environment, GOI, if applicable.
 - v) Water Connection sanctioned.
 - vi) Certified Building Plan Approval from competent authority alongwith copies of approved building plan.
4. Tying up of the means of finance for the project to the satisfaction of concerned Implementing Agency.

5. After the unit goes into commercial production they should furnish following documents :

a) By all units :

- i) Two copies of Original Agreement on Rs.100/- stamp paper duly notarized and one additional certified xerox copy (in prescribed format)
- ii) Affidavit for Employment Based Mega Projects.(in prescribed format)
- iii) Board Resolution passed authorizing execution of Agreements / Affidavit.
- iv) Declaration of commencement of production.
- v) First three purchases and sales bills.
- vi) First three electric bills.
- vii) Copy of excise registration.
- viii) Copy of first page of Excise Register.

(All legal documents must bare a embossed seal of the company)

C) General Terms & Conditions –

- i) Project Appraisal Report will be required from SICOM / MSFC / Any Nationalized Bank, even if the project (new or expansion) is being implemented through self-finance / internal accruals. Inform name & address of term lending institute to call the appraisal report.
- ii) C.A. Certificate regarding assets acquired on site and paid for it will have to be submitted up to the date of production / cut off date of 100% investment of the accepted project cost in prescribed format.
- iii) Detail list of Preoperative Expenses, capitalized up to the date of production, duly certified by C.A.
- iv) List of Plant & Machinery in the prescribed format, duly certified by C.A.
- v) Architect Certificate regarding civil work i.e. Building / Foundation / Land development (like internal Road, Land Leveling Fencing etc.) in detail.
- vi) Technical Know-how Agreement alongwith receipts of cost of drawings and fees, if applicable.

D) Only for expansion units :

- I) a. Declaration about date of acquisition of 1st Fixed Assets for expansion project. (this will be considered as Expansion Year)
- b. Gross Block of the fixed assets for the previous year of Expansion Year, alongwith the balance sheet. (The fixed capital investment to be made in the expansion project needs to 25% or more than the gross block of the previous financial year).
- II) Details of existing installed capacity & capacity after expansion.
- III)a. No. of employees in non-supervisory category.
- b. No. of employees to be employed in non-supervisory category for expansion project.
- c. Details of local persons from total employment, of non-supervisory category (Details of workers with proof i.e. domicile certificate, PF & ESI details.)